

Junior ISA (JISA) Transfer Form



For personal clients transferring a Junior Individual Savings Account (JISA)
or a Child Trust Fund (CTF) to Seven Investment Management LLP (7IM).

**PLEASE PRINT CLEARLY IN BLOCK CAPITALS IN ALL SECTIONS.
SECTIONS MARKED WITH AN * ARE MANDATORY**

Section 01 Transfer Details

Type of account being transferred

JISA CTF

Current JISA / CTF Provider:

Address of Current JISA / CTF Provider:

City:

County (or country if not UK):

Postcode:

JISA / Unique CTF Account Reference Number:

I wish to transfer the current JISA / CTF to a Stocks &
Shares JISA provided by Seven Investment Management
LLP (7IM).

Type of JISA / CTF with the current provider: (tick one)

- Cash, please complete sections 01a and 01c
- Stocks and Shares, please note that the full
balance of the account must be transferred if the
current JISA / CTF is a Stocks and Shares JISA /
CTF. Please complete sections 01b and 01c

Transfer Authority

I authorise my current JISA / CTF provider to transfer
the JISA / CTF quoted to 7IM.

I authorise my current JISA / CTF provider to provide
7IM with any information (written or non written) about
the JISA and to accept any instructions from 7IM relating
to the JISA / CTF to be transferred.

01a Cash Transfer

Please provide sort code for branch where account
is held:

 / /

Please transfer: (tick one)

The full balance of the account, if you are a
transferring a CTF, the full balance of the CTF
must be transferred.

Part of the Cash JISA account balance only.

The amount to transfer is £

If you are requesting a partial Cash JISA transfer,
the full amount of the current year subscription
must be included in the transfer.

01b Stock and Shares Transfer

I wish to transfer the JISA / CTF accounts shown as
follows: (tick one)

A FULL transfer – RE-REGISTER all the holdings
as they are currently invested and transfer them
with any residual cash to 7IM.*

OR

A FULL CASH transfer - SELL all holdings and
send the resulting cash to 7IM.

OR

A FULL transfer – RE-REGISTER the holdings
listed below as they are currently invested and
transfer them to 7IM and sell all remaining
holdings and send the resulting cash to 7IM.*

Detail here the holdings you want to re-register or sell if
you want a FULL transfer to take place by re-registration
and sale of holdings.

* I have enclosed a recent valuation / statement of
holdings to be transferred. Providing a valuation
/ statement will speed up the transfer process.

01c Current Year Subscriptions Included in the Transfer

The full amount of the current year subscriptions must be included in the transfer.

The amount of the current year subscriptions included in the transfer is £ .

Section 02 Registered Contact's Details

I am the Registered Contact of the current JISA / CTF. Current Registered Contact's 7IM Portfolio Number*

I **M**

Title:

First Name(s):*

Surname:*

Permanent Residential Address:*

City:*

County (or country if not UK):*

Postcode:*

Please tick **one** of the following:

- I am the Parent of the child named below
- I am the Legal Guardian of the child named below
- I otherwise have Parental Responsibility of the child named below

Section 03 Child's Details

I apply to transfer a JISA / CTF for:

Title:

Child's First Name(s)*:

Child's Surname:*

Permanent Residential Address:*

City:*

County (or country if not UK):*

Postcode:*

Date of Birth: (DD/MM/YYYY)*
 / /

The child named above will be the beneficial owner of the JISA investments.

Section 04 JISA Declaration

I declare that:

- I have parental responsibility for the child
- I am 16 years of age or over
- I am the Registered Contact for the JISA

If I am transferring a CTF, I can confirm that:

- I am the registered contact of the CTF;
- I understand that the following CFT features might not be included in a JISA:
 - lifestyling from age 15;
 - minimum subscriptions of £10 allowed; and
 - annual charge cap of 1.5%,
 - and wish to proceed; and
- I understand that if the transfer from the CTF is not successful, any JISA that has been opened by 7IM on a provisional basis to accept the transfer will be invalid, any subscription to the provisional JISA will be returned, and the CTF will remain intact.

I authorise 7IM:

- to hold the child's subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash, and
- to make on the child's behalf any claims to relief from tax in respect of JISA investments.

Section 05 Declaration and Signature

I declare that:

- This form has been completed to the best of your knowledge and belief; and
- You agree to the 7IM Terms and Conditions and Fees and Charges, provided to you.

Please sign in the box below.

By signing below you indicate that you agree to be bound by the 7IM Terms and Conditions and Fees and Charges provided to you. For your own benefit please ensure you have carefully read these before signing. If there is anything you do not understand please ask us for further information.

Signature

Signed by (please PRINT name):

Date:

By opening this account and signing here, the account owner: (a) represents and warrants that he/she/it is not a US Person, meaning a person who is in either of the following categories: (a) a person included in the definition of "US Person" under rule 902 or Regulation S under the US Securities Act of 1933, as amended, or (b) a person excluded from the definition of a "Non-United States person" as used in CFTC Rule 4.7; and (b) agrees to inform us if there are any changes in circumstances which would mean that this representation is no longer accurate, including if the account owner becomes a resident of the US.